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T-079 P.001/008 F-767

**BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP**A LIMITED LIABILITY PARTNERSHIP  
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INTELLECTUAL PROPERTY LAW

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Deliver to: EXAMINER X. NGUYEN

Firm Name: U.S. PATENT AND TRADEMARK OFFICE ART UNIT: 3613

Fax Number: 703-872-9326 Telephone No.: \_\_\_\_\_

From: STEVEN LAUT, ESQ. Reg. No.: 47,736

Date: November 29, 2001 Time: \_\_\_\_\_

BSTZ Matter: 004164>P004 Number of pages including cover sheet: STX (6)

In Re Patent Application of: STEPHEN J. RUIZ

Application No.: 09/716,113

Filed: NOVEMBER 16, 2000

Title: AERODYNAMIC STANDOFFS TO AIR COOL DISC TYPE AUTO BRAKE ROTORS

**Enclosed are the following documents:**

<input checked="" type="checkbox"/> Amendment/Response ( 5 pgs)	<input type="checkbox"/> Declaration & PoLA ( _ pgs)	<input type="checkbox"/> Reply Brief ( _ pgs)
<input type="checkbox"/> Appeal Brief ( _ pgs) (in triplicate)	<input type="checkbox"/> Drawings: # of sheets w/ _ figs.	<input type="checkbox"/> Small Entity Statement
<input type="checkbox"/> Utility Application ( _ pgs)	<input type="checkbox"/> Month Petition re Ext. of Time	<input type="checkbox"/> Resp. to Notice of Missing Parts ( _ pgs)
<input type="checkbox"/> 1.53(b) Cont. Application ( _ pgs)	<input type="checkbox"/> IDS & PTO 1449 ( _ pgs)	<input checked="" type="checkbox"/> Transmittal Letter ( 1 pgs) (in duplicate)
<input type="checkbox"/> 1.53(h) Divisional Application ( _ pgs)	<input type="checkbox"/> Issue Fee Transmittal ( _ pgs)	<input checked="" type="checkbox"/> Fee Transmittal ( 1 pgs) (in duplicate)
<input type="checkbox"/> 1.53(b) CIP Application ( _ pgs)	<input type="checkbox"/> Notice of Appeal ( _ pgs)	<input type="checkbox"/> Deposit Account Authorization
<input type="checkbox"/> 1.53(d) CPA Transmittal ( _ pgs)	<input type="checkbox"/> Petition re ( _ pgs)	<input type="checkbox"/> Other: _____
<input type="checkbox"/> PCT Application ( _ pgs)	<input type="checkbox"/> Power of Attorney ( _ pgs)	<input type="checkbox"/> Other: _____
<input type="checkbox"/> Provisional Application ( _ pgs)	<input type="checkbox"/> Declaration by Inventor(s) ( _ pgs)	<input type="checkbox"/> Other: _____
<input type="checkbox"/> Assignment & Cover Sheet ( _ pgs)	<input type="checkbox"/> Supplemental Declaration ( _ pgs)	<input type="checkbox"/> Other: _____
<input checked="" type="checkbox"/> Certificate of Transmission by Fax	<input type="checkbox"/> Preliminary Amendment ( _ pgs)	<input type="checkbox"/> Other: _____

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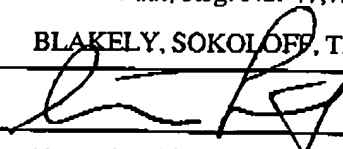
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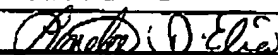
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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application Number	09/716,113	<b>FAX RECEIVED</b>  NOV 29 2001  <b>GROUP 3600</b>
		Filing Date	November 16, 2000	
		First Named Inventor	Stephen J. Ruiz	
		Group Art Unit	3613	
		Examiner Name	X. NGUYEN	
Total Number of Pages in This Submission	8	Attorney Docket Number	4164P004	

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below: <div style="border: 1px solid black; padding: 5px; width: fit-content;">FAX TRANSMITTAL COVER</div>
Remarks _____		
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>		
Firm or Individual name	Steven Laut, Reg. No. 47,736 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN	
Signature		
Date	November 29, 2001	

CERTIFICATE OF MAILING (OR TRANSMISSION)			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <b>November 29, 2001</b>			
Typed or printed name	Linda D'Elia		
Signature		Date	11/29/01

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# FEE TRANSMITTAL for FY 2002

Petent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

## Complete if Known

Application Number	09/716,113
Filing Date	11/16/00
First Named Inventor	Stephen J. Ruiz
Examiner Name	X. NGUYEN
Group Art Unit	3613
Attorney Docket Number	4164P004

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

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Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)

### 2. CLAIMS

Total Claims	Extra	Fee from below	Fee Paid
40			
4			

Multiple Dependent Claims

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple Dependent claim	
109	84	209	42	Reissue independent claims over original patent	
110	18	210	9	Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920	112	920	Requesting publication of SIR prior to Examiner action	
113	1,840	113	1,840	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	400	216	200	Extension for response within second month	
117	920	217	460	Extension for response within third month	
118	1,440	218	720	Extension for response within fourth month	
128	1,960	228	980	Extension for response within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidably	
141	1,280	241	640	Petition to revive - unintentionally	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

Typed or Printed Name Steven Laut, Reg. No. 47,736  
 Signature [Signature]

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